

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Eishun TSUCHIDA, et al.

SERIAL NO: NEW APPLICATION

GAU:

FILED: HEREWITH

EXAMINER:

FOR: AMPHIPHILIC COMPOUNDS HAVING A DENDRITIC BRANCH STRUCTURE



INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
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(OSMMN 10/01)

Docket No. 220114US0CONT

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11046 U.S. PTO  
10/083555  
02/27/02

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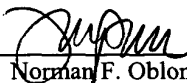
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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 220114US0CONT		SERIAL NO. NEW APPLICATION	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Eishun TSUCHIDA, et al.			
				FILING DATE HEREWITH		GROUP	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
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<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	11-71519	03/16/99	JAPAN			XX
	AP	11-296638	02/02/99	JAPAN			
	AQ	7-505915	06/29/95	JAPAN			
	AR	WO 94/17125	08/04/94	WIPO			
	AS	WO 93/21259	10/28/93	WIPO			
	AT						
	AU						
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW	SILVIUS ET AL, "INTERBILAYER TRANSFER OF PHOSPHOLIPID-ANCHORED MACROMOLECULES VIA MONOMER DIFFUSION", BIOCHEMISTRY 1993, 32, 3153-3161					
	AX	ISSBERNER ET AL, "DENDRIMERS" FROM GENERATIONS AND FUNCTIONAL GROUPS TO FUNCTIONS", ANGEW. CHEM. INT. ED. ENGL. 1994, 33, NO. 23/24					
	AY	TAM, "SYNTHETIC PEPTIDE VACCINE DESIGN: SYNTHESIS AND PROPERTIES OF A HIGH-DENSITY MULTIPLE ANTIGENIC PEPTIDE SYSTEM", PROC. NATL. ACAD. SCI., USA, VOL. 85, PP. 5409-5413, AUGUST 1988					
	AZ	GITSOV ET AL, "NOVEL POLYETHER COPOLYMERS CONSISTING OF LINEAR AND DENDRITIC BLOCKS," ANGEW. CHEM. INT. ED. ENGL. 1992, 31, NO. 9				<input checked="" type="checkbox"/> Additional References sheet(s) attached	
Examiner							

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AAA	CHAPMAN ET AL, "HYDRAAMPHIPHILES: NOVEL LINEAR DENDRITIC BLOCK COPOLYMER SURFACTANTS", J. AM. CHEM. SOC. 1994, 116, 11195-11196					
	AAB	SCHENNING ET AL, "AMPHIPHILIC DENDRIMERS AS BUILDING BLOCKS IN SUPRAMOLECULAR ASSEMBLIES", J. AM. CHEM. SOC. 1998, 120, 8199-8208					
	AAC	SINGH, "TERMINAL GROUPS IN STARBURST DENDRIMERS: ACTIVATION AND REACTIONS WITH PROTEINS", BIOCONJUGATE CHEM. 1998, 9, 54-63					
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